## JC03 Rec'd PCT/PTO 07 OCT 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER 2139.003US1 _					
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (III ROWN) SEP 37 CFR 1.5)						
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			10/ 222021					
i .	TIONAL APPLICATION NO. 2004/003807	INTERNATIONAL FILING DATE April 8, 2004	PRIORITY DATE CLAIMED April 11, 2003					
1	TITLE OF INVENTION METHOD AND DEVICE FOR DEFORMING A WORKPIECE MADE OF A MATERIAL HAVING AN EXPONENTIAL TENSILE STRESS-STRAIN BEHAVIOR INTO A THIN-WALLED, HOLLOW SHELL							
	APPLICANT(S) FOR DO/EO/US Erich Sieger.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. 🗹	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. 🗸	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.	The US has been elected (Article 31).							
5. 🗸	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))						
	a. is attached hereto (required	only if not communicated by the Internation	al Bureau).					
	b. As been communicated by	the International Bureau.						
	c. is not required, as the applic	cation was filed in the United States Receiving	ng Office (RO/US).					
6. ビ	An English language translation of the	e International Application as filed (35 U.S.C	. 371(c)(2)).					
	a. is attached hereto.							
	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached hereto (requir	red only if not communicated by the Internati	onal Bureau).					
	b. have been communicated by the International Bureau.							
	c. have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.					
	d. have not been made and w	have not been made and will not be made.						
8. 🔲	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. 🗸	An oath or declaration of the inventor(	(s) (35 U.S.C. 371(c)(4)).						
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	amination Report under PCT					
Items	11 to 20 below concern document(s)	or information included:						
11. 🗹	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12.	An assignment document for recording	g. A separate cover sheet in compliance with	n 37 CFR 3.28 and 3.31 is included.					
13. 🔽	A preliminary amendment.							
14.	An Application Data Sheet under 37 C	CFR 1.76.						
15. 🔲	A substitute specification.							
16.	A power of attorney and/or change of	address letter.						
17.	A computer-readable form of the sequ	ence listing in accordance with PCT Rule 13	Ster.2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published Interna	ational Application under 35 U.S.C. 154(d)(4	)).					
19.	A second copy of the English language	e translation of the international application	under 35 U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC05 Rec'd PCT/PTO 07 OCT 2005

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION NO.  PCT/EP2004/003807			ATTORNEY'S DOCKET NUMBER 2139.003US1			
20. Other	items or informati	ion:		<u>.</u>		
	ational Patent Application					
Drawi	ngs (8 pgs.) ational Search Report (3					
	lequest (3 pgs.)	70-7				
The fol	lowing fees have t	een submitted			CALCULATIONS	PTO USE ONLY
21. 🗹 Bas	ic national fee (37	CFR 1.492(a))		\$300	\$ 300.00	
22. Examination fee (37 CFR 1.492(c))						
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	,
	ns					
	rch fee (37 CFR 1					
IPEAÚS	indicates all clain	ns satisfy provisio	al preliminary examination rep ns of PCT Article 33(1)-(4)	\$0		
			he international application to		<sup>\$</sup> 500.00	
International Sea	rch Report prepar	ed by an ISA other	er than the US and provided to	the Office or		
	TOTAL OF 21, 22	2 and 23 =			1000.00	
			d in paper over 100 sheets (ex 1.821(c) or (e) or computer pr			
electroni	c medium) (37 CF	R 1.492(i)).	., ,,	ogram isung in an		
The fee is	\$ \$250 for each ad	ditional 50 sheets	of paper or fraction thereof.	<u> </u>		
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$	l
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$	:
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		32 - 20 =	12	x \$ 50	\$ 600.00	
Independent clair		4 -3=	ì	x \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$ 800.00	
Applicant cla	ims small entity st	atus. See 37 CFR	1.27. Fees above are reduce			
SUBTOTAL =					\$ 900.00	<u> </u>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
. TOTAL NATIONAL FEE =					\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$	
					Amount to be refunded:	\$
					Amount to be charged	\$ 900.00

## JC05 Rec'd PCT/PTO 07 OCT 2005

PTO-1390 (Rev. 07-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 19-0743 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0743 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:  SIGNATURE  Timothy B Clise  NAME  40,957  REGISTRATION NUMBER								

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Erich Sieger

Title: METHOD AND DEVICE FOR DEFORMING A WORKPIECE MADE OF A MATERIAL HAVING AN EXPONENTIAL

TENSILE STRESS-STRAIN BEHAVIOR INTO A THIN-WALLED, HOLLOW SHELL

Attorney Docket No.:

2139.003US1

Customer No.: 21186

#### PATENT APPLICATION TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- X A Transmittal Letter to the DO/EO/US (and duplicate copy thereof) concerning a PCT U.S. National Stage filing under 35 U.S.C. § 371.
  - X Copy of International Application No. PCT/EP2004/003807 as published (29 pgs. Including 32 claims)

X Formal Drawing(s) (8 sheets).

X SIGNED Combined Declaration and Power of Attorney (3 pgs).

- Incorporation by Reference: The entire disclosure of the prior application, German Application No. 103 16 854.0, filed April 11, 2003 and PCT/EP2004/003807, filed April 8, 2004, are considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- X Authorization to charge to Deposit Account No. 19-0743 in the amount of \$900.00 to pay the filing fee.
- Information Disclosure Statement (2 pgs), Form 1449 (1 pgs) and copies of 2 cited documents and a copy of the International Search Report.
- X International Search Report (3 pgs.)
- X PCT Request (3 pgs.).
- X Preliminary Amendment (10 pgs.).
- X English Translation of International Application No. PCT/EP2004/003807 (33 pgs.).
- X Return postcard.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 21186

Atty: Timothy B.C

Reg No. 408

"Express Mail" mailing label number: EV 553 982 397 US

Date of Deposit: October 7, 2005

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# 10/553031 CO5 Rec'd PCT/PTO 07 OCT 2003

### **ENGLISH TRANSLATION**

METHOD AND DEVICE FOR DEFORMING A WORKPIECE MADE OF A MATERIAL HAVING AN EXPONENTIAL TENSILE STRESS-STRAIN BEHAVIOR INTO A THIN-WALLED, HOLLOW SHELL

SLWK 2139.003US1

05/05192 WO US